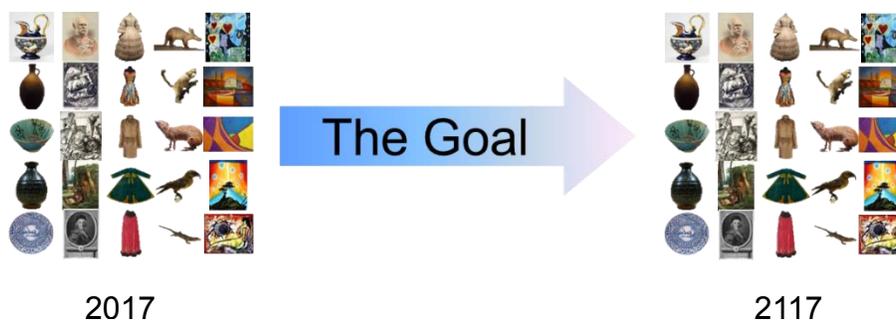


Cultural Property Risk Analysis Model (CPRAM): A very brief introduction to key concepts

What is a risk?

In its simplest sense, the goal of preservation is to convey a collection from one point in time to a future time with no unnecessary damage or loss. Consider this collection of 25 objects: 5 ceramics, 5 prints, 5 textiles, 5 natural history, and 5 paintings.

The goal is to take this collection of 25 objects unharmed to a future time. We choose a starting time that is not today, or tomorrow. Instead, we choose a starting time that gives us time to plan strategically for effective preservation.



It may happen that over this time some of the objects will be misplaced and lost. Below we see that two of the objects will be lost from the collection. This loss of objects by misfiling, non-recorded loans, and so on, are departures from the goal and are, by definition, risks to the collection.



It may also happen that some of the collection is placed on exhibit for many decades; here it is the bottom, right (as viewed) 6 objects. This will result in fading of that part of the collection. This is another departure from the goal and, therefore, another risk to the collection.



In addition to these accumulating small incidents and continuing processes, there also will be a chance of all or most of the collection being destroyed in a disaster.



Risks are departures from the goal. Risks can be described as scenarios that may occur.

The goal of preservation is to move the collection forward in time without damage or loss.

Our challenge is to manage multiple risks.



Can we identify all risks?

We can never identify every risk to a cultural property. There is always the chance of an “unknown unknown” risk, sometimes called a “black swan”. Still, using a combination of “agents of deterioration” and “types of risk” we can come close to being comprehensive and can formulate some understanding how comprehensive our assessment is.



AGENT of DETERIORATION

Physical Forces

Fire

Water

Criminals

Pests

Contaminants

Light and UV Radiation

Incorrect Temperature

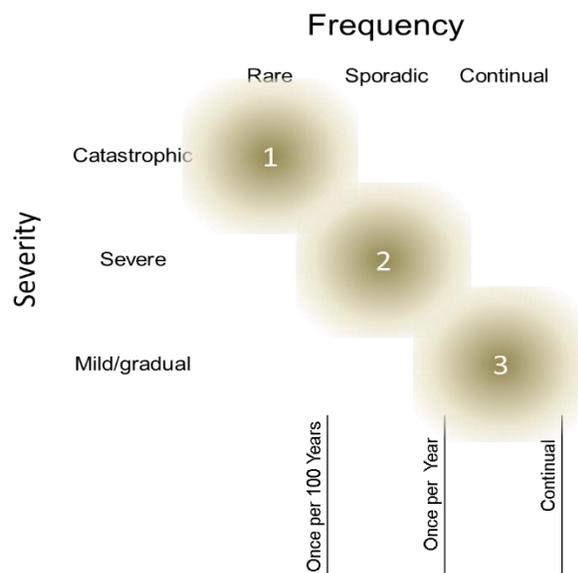
Incorrect Relative Humidity

Dissociation

After Michalski, 1990

TYPE of RISK

1. Less than 1 event expected in next 100 years
2. A few incidents per century or per decade
3. A continual process or events repeated at least once per year





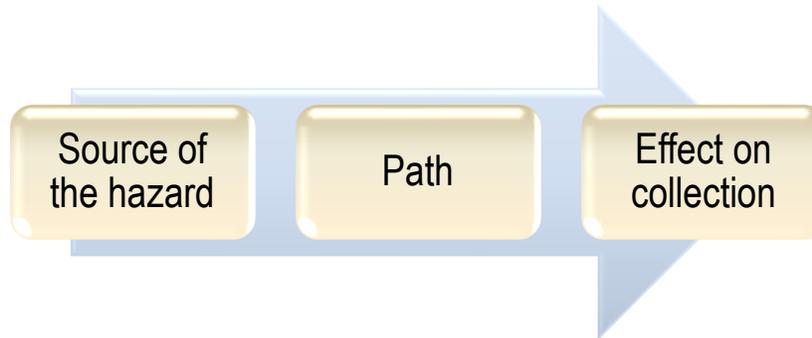
Examples of generic risks and specific risks

| GENERIC RISKS | EXAMPLES of SPECIFIC RISKS |
|-------------------------|---|
| Physical Forces– Type 1 | Earthquake causes collapse of shelves resulting in broken objects |
| Physical Forces– Type 2 | Error in handling results in dropped drawer of objects resulting in broken objects |
| Physical Forces– Type 3 | Gravity acting on poorly supported, deformable objects results in distorted objects |
| Fire– Type 1 | Fire ignites then flashes over to other objects then spreads to other compartments to consume building and destroy entire collection. |
| Fire– Type 2 | Fire ignites then flashes over to other objects, consumes one fire compartment, and destroys all objects in compartment. |
| Fire– Type 3 | Fire ignites but does not flash over and destroys only one object at point of ignition destroying one object. |
| Water– Type 1 | Water from nearby river overflows banks, inundates basement of building wetting collections to a 1m depth resulting in loss of soluble parts of objects and labels. |
| Water– Type 2 | Water from leaking downspouts runs down interior walls wets objects hung on walls resulting in tide mark stains on objects. |
| Water– Type 3 | Water in ground near building seeps through basement floor leading to corrosion of metal objects stored directly on floor. |
| Criminals– Type 1 | Professional thieves plan a targeted theft resulting in loss of objects. |
| Criminals– Type 2 | Persons given access to collections steal objects or parts of objects leading to loss of objects. |
| Criminals– Type 3 | Visitors to museum pick at exposed objects resulting in partial loss of objects. |
| Pests– Type 2 or 3 | Insect pests enter collection on returned loans and consume parts of objects resulting in losses from objects. |



| | |
|-------------------------------------|--|
| Contaminants– Type 1 | Industrial or transportation accident near museum causes plume of contaminants over building which enters HVAC system and contaminates objects . |
| Contaminants– Type 2 | Corrosive cleaning fluids are accidentally spilled on collection objects resulting in corrosion and surface damage to objects . |
| Contaminants– Type 3 | Storage hardware exposed to high temperature and RH releases acidic gases which corrode sensitive objects resulting in surface damage to objects . |
| Light and UV Radiation– Type 2 & 3 | Light from UV filtered exhibit lighting causes fading of object colours . |
| Incorrect Temperature– Type 2 | Failure of an ultra-cold freezer together with failure of the alarm system leads to melting of samples and degradation of DNA . |
| Incorrect Temperature– Type 3 | Annual average or seasonal temperatures too high leading to accelerated chemical reactions results in progressive disintegration of objects . |
| Incorrect Relative Humidity– Type 2 | High RH excursions due to failure of HVAC systems leading to corrosion of metals resulting in loss of material and obscuring of objects . |
| Incorrect Relative Humidity– Type 3 | Winter low levels of relative humidity causes dimensional change leading to rupture of constrained materials in objects . |
| Dissociation– Type 1 | Extraordinary circumstances lead to collection abandonment resulting in complete loss of collections . |
| Dissociation – Type 2 | Loss of collection-related documents such as field notes through use or non-use results in loss in value of objects . |
| Dissociation – Type 3 | Detachment of identifying label where label goes missing or cannot be re-associated leads to loss of object value . |

Specific risks need to be well defined



Well defined risks can be quantified

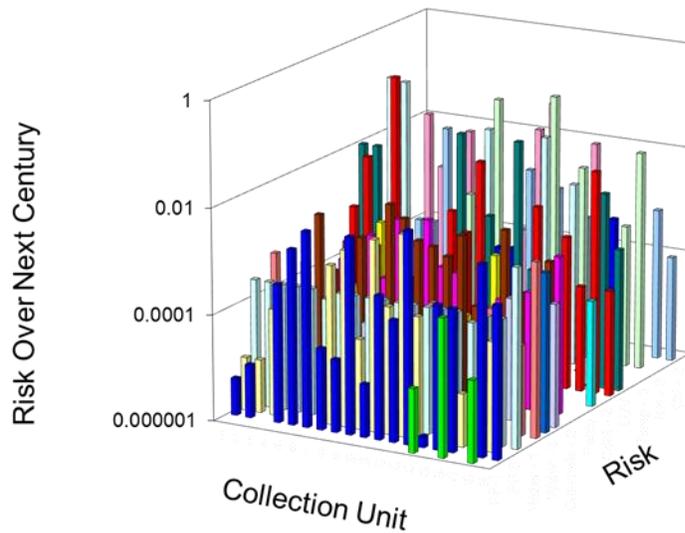
$$\text{MR} = \text{FS} \times \text{LV} \times \text{P} \times \text{E}$$

using a series of ratios:

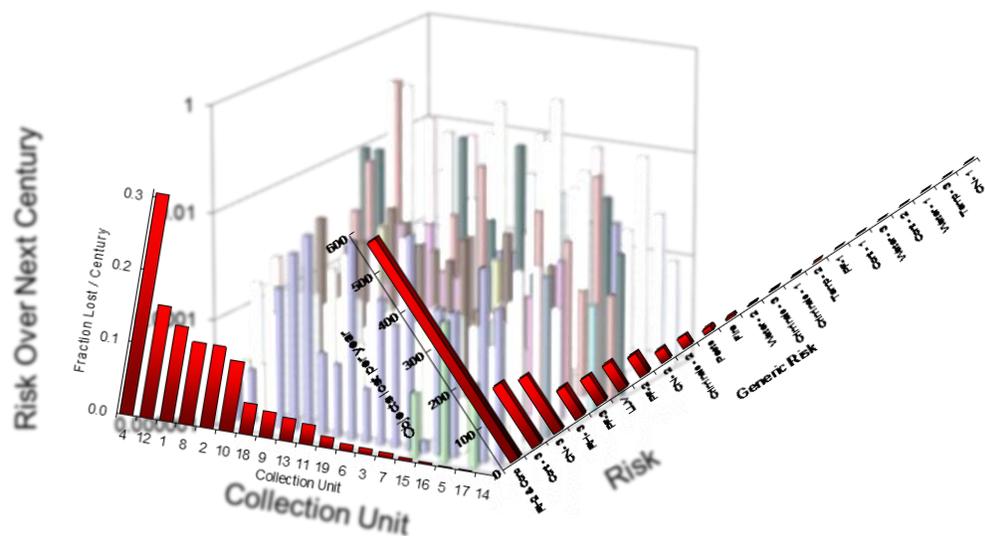
- ✧ Magnitude of Risk (MR)
- ✧ Fraction Susceptible (FS)
- ✧ Loss in Value (LV)
- ✧ Probability (P)
- ✧ Extent (E)

Results inform managers throughout an organization

The overall picture is a complex forest – easy to become lost



Looking at data from the perspective of each manager's ability to control risks clarifies issues of importance:





PROTECT HERITAGE

Bridging Past and Future

Cultural property risk analysis papers available on the internet:

Robert Waller, Conservation risk assessment: A strategy for managing resources for preventive conservation. Preprints of the Contributions to the Ottawa Congress, 12-16 September 1994, Preventive Conservation: Practice, Theory and Research, A. Roy and P. Smith (Eds.), IIC, London, pp. 12-16. Copy can be found at: <http://www.konservaattori.fi/060513/Offprint%201.pdf> or <http://www.museum-sos.org/docs/WallerOttawa1994.pdf>

Robert Waller, Risk Management Applied To Preventive Conservation. pp 21-28 In: Rose, C.L., Hawks, C.A. and Genoways, H.H. (eds.). Storage of Natural History Collections: A Preventive Conservation Approach. Society for the Preservation of Natural History Collections Iowa City, x+448pp., 1995. <http://www.museum-sos.org/docs/WallerSPNHC1995.pdf>

Robert Waller, Preventive conservation planning for large and diverse collections. Preservation of Collections: Assessment, Evaluation, and Mitigation Strategies. Preprints of the June 10-11, 1996 workshop, American Institute for Conservation, Washington, p. 1-9, 1996. <http://www.museum-sos.org/docs/WallerAIC1996.pdf>

Robert Waller, Internal pollutants, risk assessment and conservation priorities. International Council of Museums, Committee for Conservation, Preprints of the 12th Triennial Meeting, Lyon, 1999, pp. 113-118. Copy can be found at: <http://www.konservaattori.fi/060513/Offprint%203.pdf>

Robert Waller, A risk model for collection preservation. International Council of Museums, Committee for Conservation, Preprints of the 13th Triennial Meeting, Rio de Janeiro, 2002, pp. 102-107. Copy can be found at: <http://www.konservaattori.fi/060513/Offprint%204.pdf> or <http://www.museum-sos.org/docs/WallerCOMCC2002.pdf>

Robert Waller and Stefan Michalski. Effective Preservation: From Reaction to Prevention. Getty Conservation Institute Newsletter 19(1): 4-9, 2004. http://www.getty.edu/conservation/publications/newsletters/19_1/feature.html

G. Muething, R. Waller and F. Graham. Risk Assessment of Collections in Exhibitions at the Canadian Museum of Nature. Submitted to: Journal of the American Institute for Conservation. 44(3):233-243. 2005. http://aic.stanford.edu/jaic/articles/jaic44-03-007_indx.html

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