

IIC International Training Centre for Conservation
 13-18 Nov 2016 The Palace Museum, Beijing
 Non-Destructive Analysis in
 the Conservation of Cultural Heritage



Molecular Spectroscopy: Infrared

17 November 2016
 Austin Nevin




Overview

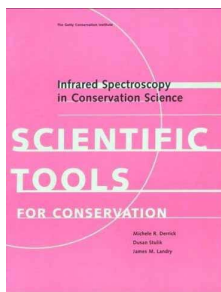
- Molecular Spectroscopy: Theory, Electromagnetic Spectrum Vibrational Energy Levels
- Vibrational Spectroscopy: Phenomena of Infrared Absorption, Overtones and Combinations
- Instrumentation: Michelson Interferometer (Fourier Transform Infrared Spectroscopy)

Applications

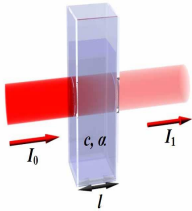
- Applications of Infrared Spectroscopy and Micro-FTIR: Different set-ups
- Interpretation of IR spectra
- Analysis of Minerals, Degradation and Organic materials
- Applications of Near Infrared Spectroscopy

Introduction to IR Spectroscopy

- Common technique used for the analysis of organic and inorganic materials
- Semiquantitative analysis of a range of cultural heritage materials
- A very powerful tool for the assessment of degradation, and identification of pigments, classes of binders
- In IR absorption, frequencies which match the natural vibrational frequencies of molecules will be absorbed



Absorption: Beer Lambert Law

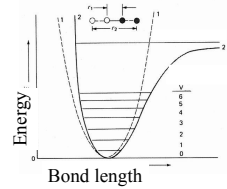
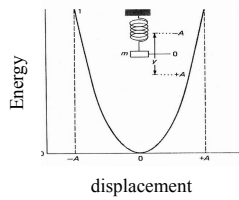


$$T = \frac{I}{I_0} = 10^{-\alpha \ell} = 10^{-\epsilon \ell c}$$

FTIR sensitivity: approximately 1 %*

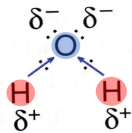
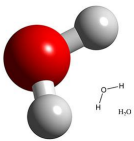
Simple model of infrared absorption

- In molecules, the chemical bond exerts an elastic force between atoms
- Absorption takes place only for discrete frequencies that correspond to the energy separation of vibrational levels



Simple model of infrared absorption

- In molecules, the chemical bond exerts an elastic force between atoms
- If the barycentre of the positive charge + does not match that of the negative charge - the molecule can absorb IR radiation and vibrate
- The greater the dipole moment, the stronger the absorption

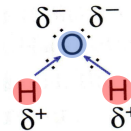


Simple model of infrared absorption

- Absorption takes place only for discrete frequencies that correspond to the energy separation of vibrational levels

Dipole Moments of Specific Molecules

Molecule	μ (debye)
H ₂ O	1.85
HF	1.91
HCl	1.08
HBr	0.80
HI	0.42
CO	0.12
CO ₂	0
NH ₃	1.47
PH ₃	0.58
AsH ₃	0.20
CH ₄	0
NaCl	9.00



In the same way the rotation of a molecule can be triggered by the absorption of infrared radiation (this effect mainly takes place in gases)

Homonuclear molecules do not show any charge separation +/- and do not absorb infrared radiation

Molecular vibrations - Mathematics

- Molecular vibrations can be divided in two basic types
 - Stretching
 - Bending

- For a molecule made of two atoms having masses m_1 e m_2

$$v = \frac{1}{2\pi} \sqrt{\frac{k}{\mu}} \quad \mu = \frac{m_1 m_2}{m_1 + m_2}$$

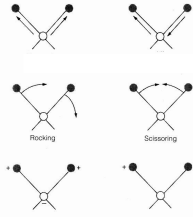
- The energetic separation between 2 vibrational levels is:

$$\Delta E = h\nu = h \sqrt{k/\mu} \Rightarrow \frac{hc}{\lambda} = \frac{h\nu}{2\pi} \sqrt{\frac{k}{\mu}}$$

- In terms of wave numbers

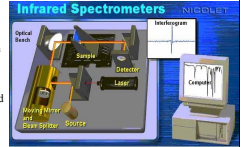
$$\bar{\nu} = \frac{1}{2\pi c} \sqrt{\frac{k}{\mu}} \quad \bar{\nu} = \frac{1}{\lambda} = \frac{v}{c}$$

- The strength constant k depends on the bond type (simple, double,...)
- Equations above allows us to estimate the spectral band for IR absorption
- Beyond the "fundamental band", other absorption bands corresponding to higher harmonics (2^{nd} , 3^{rd} , ...) are present, even if they show lower intensity**



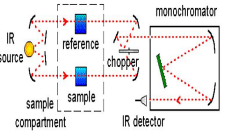
FTIR Absorption: Inside an instrument

- A spectrometer (or spectrophotometer) is made of:
 - radiation source, a dispersive element (e.g. diffraction grating), detection subsystem



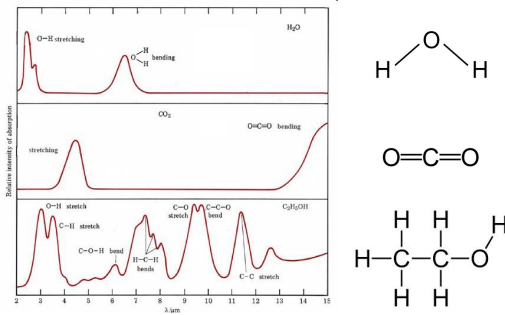
- Source
 - Usually a blackbody emitter with temperature between 1500 and 2200 K
 - tungsten lamp for normal measurements (NIR and MID-IR)
 - special lamps for far infrared measurements

- Dispersive element
 - based on a diffraction grating as in UV/VIS spectrometers
 - Usually the double beam configuration is used to compensate for water vapour and e CO₂ absorption
 - based on interferometric methods in Fourier transform (FTIR) spectrometers



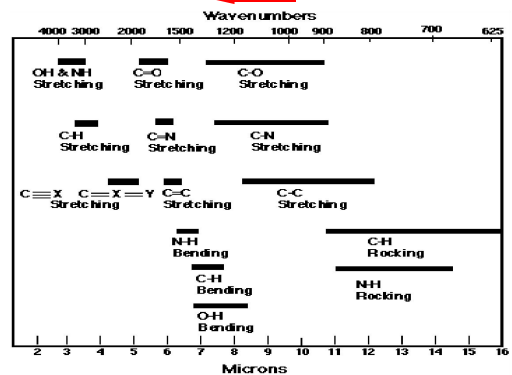
- Detectors
 - Photoconductive detectors
 - Thin slabs of semiconductor materials: PbS, PbSe, HgCdTe (77 K)
 - HgCdTe (MCT) detectors for imaging
 - Thermal detectors

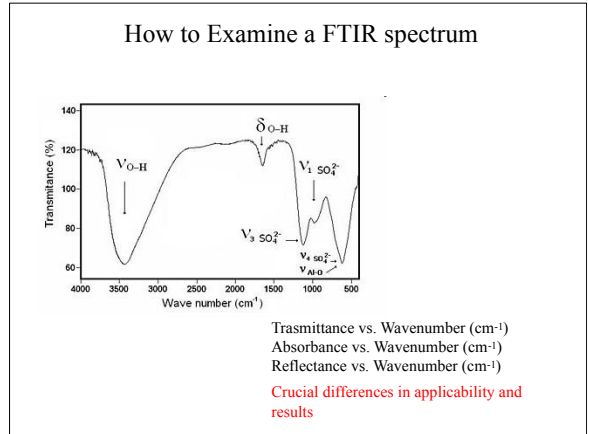
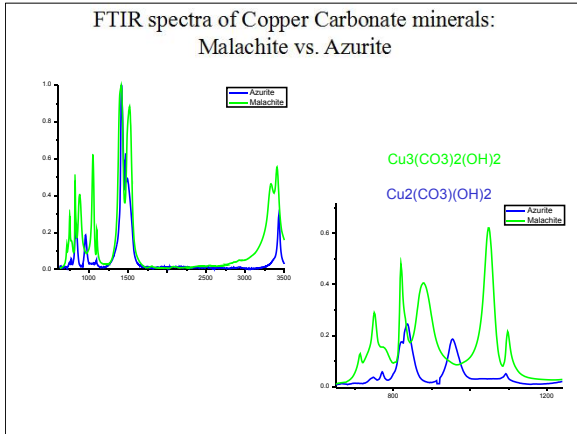
FTIR spectroscopy of simple molecules



Functional Groups are key to spectral interpretation

IR Vibrational Frequencies for FTIR





Spectral properties

Bands

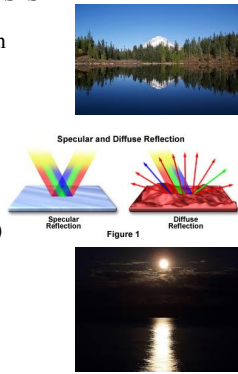
- Position/frequency**
 - Depends on the bonds
- Shape**
 - Reflects the purity of the sample, and the presence of similar bonds in a sample
- Relative intensity**
 - Number of molecules in a sample (concentration)

Applications of FTIR

- How do we prepare samples?
 - Usually FTIR is used in Transmittance
 - KBr, Nujol, thin films on NaCl
 - Requires sample preparation and careful isolation of material
- Non-destructive alternatives
 - Reflectance FTIR (for IR Reflective materials)
 - Attenuated Total Reflectance
 - Near Infrared Reflectance

Reflectance Analysis

- Specular Reflection (smooth surfaces)
 - measurement of thin layers or monolayers
 - coatings on metals, surface characterization
- Diffuse Reflection (DRIFTS) (rough surfaces)
 - structural information is from the bulk matrix



Reflectance FTIR

- Collect IR radiation which reflects from a surface
 - Fibre optic or directly in air
- **Distortions** to spectrum due to the distortion of reflectance bands
- Specular reflectance can result in distortions of absorption bands
 - mathematically correct (Kramers Kronig)
- Diffuse reflectance:
 - correct scattering (Kubelka Munk)

Calcite in transmission vs. reflectance Restrahlen bands

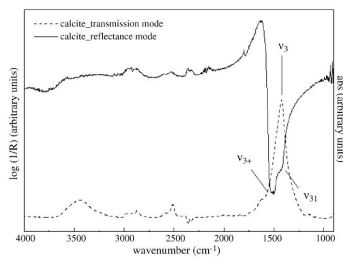
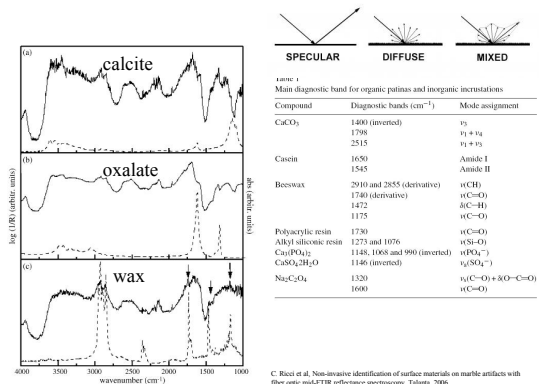


Fig. 1. Mode distribution and selection rules for calcite structures.

C. Ricci et al., Non-invasive identification of surface materials on marble artifacts with fiber optic mid-FTIR reflectance spectroscopy, Talanta, 2006

Reflectance FTIR on Marble sculptures

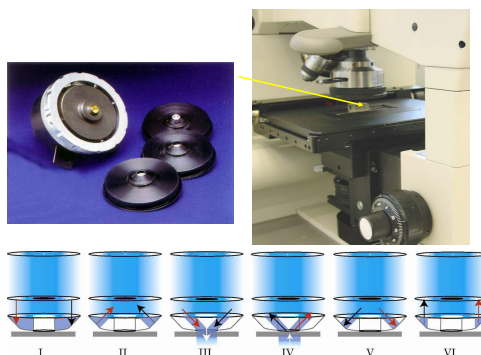


C. Ricci et al., Non-invasive identification of surface materials on marble artifacts with fiber optic mid-FTIR reflectance spectroscopy, Talanta, 2006

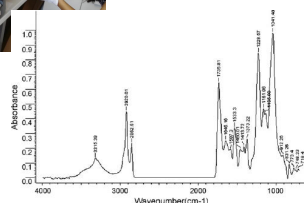
Attenuated total reflectance (ATR)

- ATR is a sampling method which requires direct contact between a material and an IR transparent crystal (eg. Diamond, Germanium, ZnSe)
- IR radiation travels through the crystal and probes only the top few micrometers of the sample
 - based on refractive index mismatch between sample and ATR crystal

ATR and Reflectance FTIR

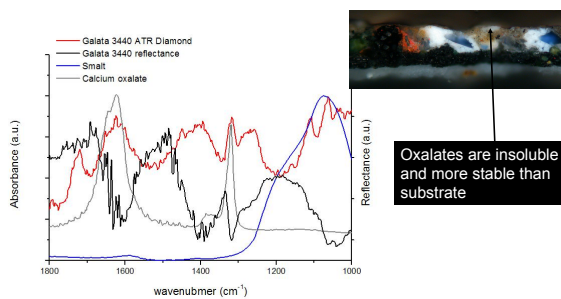


ATR applications: surface films



http://www.getty.edu/conservation/publications_resources/pdf_publications/atlas.html

ATR and Reflectance FTIR on Cross-sections



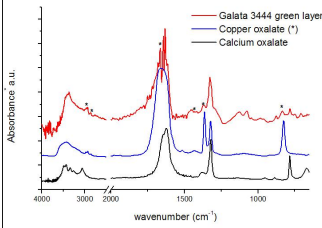
Oxalates are insoluble and more stable than substrate

Hypotheses regarding the formation of oxalates

- degradation of binding media (proteins and other organic materials)
- attack of oxalic acid produced by lichens on calcium and other carbonates

Main limitation of FTIR Spectroscopy: competing signals

Fourier Transform Infrared Spectroscopy – from Cross-section in Reflectance*



Presence of Calcium and Copper Oxalates

A. Nevin et al, Journal of Cultural Heritage, 2006

Band (cm ⁻¹)	Compound	Band Assignment
3423	Wheddelite	ν_1 (O-H)
2962	Moolooite	ν_3 (O-H)
2930	Moolooite	ν_3 (O-H)
1659	Moolooite	ν_3 (C=O)
1622	Wheddelite	ν_3 (C=O)
1433	Moolooite	ν_3 (C-O)
1360	Moolooite	ν_3 (C-O)
1321	Wheddelite	ν_3 (C-O)
825	Wheddelite	δ (O-C=O)
781	Moolooite	δ (O-C=O)

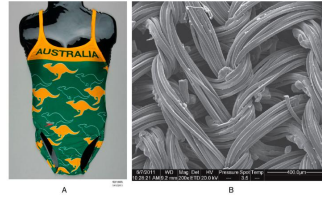


Studies in Conservation

ISSN: 0039-3680 (Print) 2047-0584 (Online) Journal homepage: <http://dx.doi.org/10.1080/00393680.2016.1191222>

ATR-FTIR as a tool for assessing potential for chemical ageing in Spandex/Lycra®/elastane-based fabric collections

Christopher E. Marjo, Sue Gatenby, Anne M. Rich, Bin Gong & Suzanne Chee



Marjo et al. ATR-FTIR as a tool for assessing potential for chemical ageing

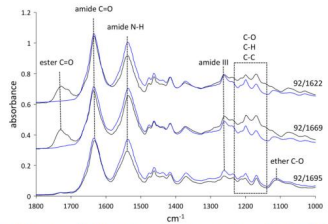
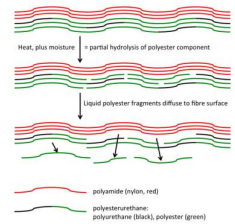
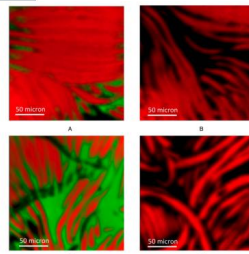


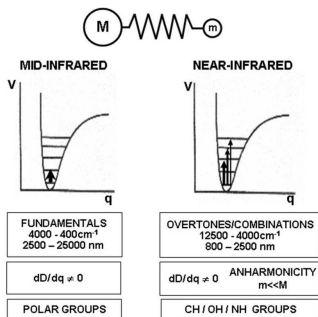
Figure 5 ATR-FTIR spectra of 92/1622, 92/1669, and 92/1695 (black) showing the effects of aqueous NaOH at 50°C for five hours (blue). Vertical axis is absorbance units and horizontal axis is in wavenumbers (cm⁻¹).



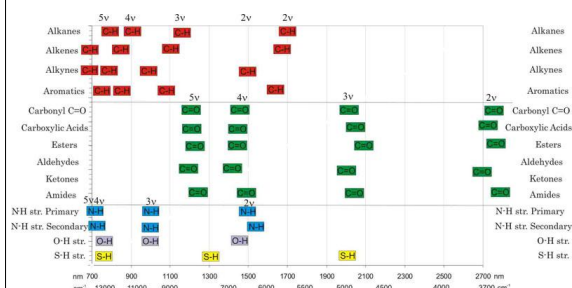
The study demonstrates the value of ATR-FTIR for identification of elastane fabrics that may require specialized storage in a humidity-controlled environment

Figure 9 400 × 400 µm ATR-FTIR images of 92/1622 (A) before and (B) after treatment with NaOH at 50°C and, 92/1669 (C) before and (D) after treatment with NaOH at 50°C. The red areas represent peak height of the nylon amide bands (1650–1630 cm⁻¹) and the green images are the peak height of the polyesterurethane ester bands at 1750 cm⁻¹.

NIR and Mid IR Spectroscopy



Where are overtones?



A final consideration software + File formats

Different proprietary software programmes

- Origin
- Excel
- Bruker/Nicolet/Grams
- Essentialfir: <http://www.essentialfir.com/>

Key: useful to create a database of spectra which can be compared to unknown samples

Conclusions

- FTIR is a useful technique for analysis of organic and inorganic material
- NIR and Reflectance FTIR can be used non-destructively for rapid analysis
- NB Intrinsic limitations
 - Sensitivity
 - Resolution of Mixtures
 - Spectra Distortions
- Statistical methods are essential for NIR